

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

CARLOS AUGUSTO LOPES,

Plaintiff,

v.

MELLON INVESTOR SERVICES LLC, JP
MORGAN CHASE & CO., WACHOVIA
CORPORATION, WACHOVIA BANK,
N.A., WACHOVIA/FIRST UNION BANK,
HELENA BUSHROE, JOHN DOE AND
JANE ROE,

Defendants.

X

:

:

07 Civ. 5928

:

:

Rule 7.1 Statement

:

:

:

:

:

:

:

:

:

:

X

Pursuant to Federal Rule of Civil Procedure 7.1 [formerly Local General Rule 1.9] and to enable District Judges and Magistrate Judges of the Court to evaluate possible disqualification or recusal, the undersigned counsel for Mellon Investor Services, LLC (a private non-governmental party) certifies that the following are corporate parents, affiliates and/or subsidiaries of said party, which are publicly held:

The Bank of New York Mellon Corporation

Dated: September 25, 2007

Respectfully submitted,

HODGSON RUSS LLP

/s/ Mark A. Harmon

Mark A. Harmon (9126)
1540 Broadway, 24th Floor
New York, NY 10036

Telephone: (212) 751-4300
Facsimile: (212) 751-0928
E-mail: mharmon@hodgsonruss.com
Attorneys for Defendant
Mellon Investor Services LLC